

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

PA-0111

In Re Application Of: S. Stubbs et al.

DEC 10 2001

Serial No.
09/967,301Filing Date
September 28, 2001Examiner
To be assignedGroup Art Unit
1633

Title: Fluorescent Proteins

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

PA-0111

In Re Application: S. Stubbs et al.

Serial No.

09/967,301

Filing Date

September 28, 2001

Examiner

To be assigned

Group and Unit

1635

Fluorescent Proteins

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited November 16, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Melissa Leck

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account

Signature

Dated: November 16, 2001

Robert F. Chisholm
Amersham Biosciences
800 Centennial Avenue
Piscataway, New Jersey 08855

(732) 980-2930
Reg. No.: 39,939

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

PA-0111

Application Number

0997,301

Applicant(s)

S. Stubbs, et al.

Filing Date

September 28, 2001

Class Art Unit

633

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,194,548	27-Feb-01	Osumi, et al.			
	A2	6,172,188	9-Jan-01	Thastrup, et al.			
	A3	6,077,707	20-Jun-00	Tsien, et al.			
	A4	5,491,084	13-Feb-96	Chalfie, et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	B1	WO 96/27675	12-Sep-96	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C1	M. Chalfie, et al. "Green Fluorescent Protein as a Marker for Gene Expression" Science, volume 263, February 11, 1994 page 802-805
	C2	M. Chalfie "Green Fluorescent Proteins" Photochemistry and Photobiology, volume 62, number 4, 1995 pages 651-656

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

PA-0111

Application Number

09/967,301

Applicant(s)

S. Stubbs, et al.

Filing Date

September 28, 2001

Group Art Unit

1633

*EXAMINER

INITIAL

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

C3

R. Heim, et al. "Length Mutations and Posttranslational Autoxidation of Green Fluorescent Protein"
Natl. Acad. Sci., volume 91, December 1994
pages 12501-12504

C4

A. Cramer, et al. "Improved Green Fluorescent Protein by Molecular Evolution Using DNA Shuffling"
Nature Biotechnology, volume 14, March 1996
pages 315-319

C5

T. Ehrig, et al. "Green-Fluorescent Protein Mutants with Altered Fluorescence Excitation Spectra"
Federation of European Biochemical Societies Letters, 367, 1995
pages 163-166

C6

B. Cormack, et al. "FACS-Optimized Mutants of the Green Fluorescent Protein (GFP)"
Gene, 173, 1996
pages 33-38

C7

R. Heim, et al. "Improved Green Fluorescence"
Nature, volume 373, February 23, 1995
pages 663-664

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.